Schedule III [See regulation 6(4)]

## Liquid Capital Statement

for the month of **31-MAR-22** 

of M/s. ASDA Securities (Pvt.) Ltd.

Submission Date 13-APR-2022 16:23:45

	Submission Date 13-AFR-2022 10.23.43		Page	1 Of 3
S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
1	Assets			
1.1	Property & Equipment	4,632,477	4,632,477	
1.2	Intangible Assets	2,500,000	2,500,000	
1.3	Investment in Govt. Securities Investment in Debt. Securities			
1.4	If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 10% of the balance sheet value, in the case of tenure of more than 3 years. If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.			
1.5	iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.			
1.5	Investment in Equity Securities i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for	314,886,044	53,482,323	261,403,721
	respective securities whichever is higher.	314,000,044	53,462,323	201,403,721
	ii. If unlisted, 100% of carrying value.			
	iii.Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money provided that shares have not been alloted or are not included in the investments of securities broker.			
	iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities	14,475,524	14,475,524	
	that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017)			
	Provided that 100% haircut shall not be applied in case of investment in those securities which are Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged			
	in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in			
	schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)			
1.6	Investment in subsidiaries			
1.7	Investment in associated companies/undertaking i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities			
	whichever is higher.			
	ii. If unlisted, 100% of net value.	44,000,000	44,000,000	
1.8	Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository or any other entity.	11,200,000	11,200,000	
1.9	Margin deposits with exchange and clearing house.	6,405,418	0	6,405,418
1.10	Deposit with authorized intermediary against borrowed securities under SLB. Other deposits and prepayments	10 000 775	10 000 775	
1.11	Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities	13,630,775	13,630,775	
	etc.(Nil)			
1.13	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties Dividends receivables.			
1.13	Amounts receivable against Repo financing.			
	Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement			
1.15	shall not be included in the investments.) i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months	151,500	0	151,500
1.15	PLUS	131,000	0	131,300
	ii. Advance tax to the extent it is netted with provision of taxation.			
1.16	III. Receivables other than trade receivables Receivables from clearing house or securities exchange(s)	1,145,370	1,145,370	
1.10	i. 100% value of claims other than those on account of entitlements against trading of securities in all			
	markets including MtM gains.			
1 17	ii. Receivable on entitlements against trading of securities in all markets including MtM gains.			
1.17	Receivables from customers i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the			
	blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)			
	market value of any securities deposited as collateral after applying VaR based haircut.			
	i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value.			
	ii. Net amount after deducting haircut			
	iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as			
	collateral upon entering into contract, jii. Net amount after deducting haricut			
	iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	1,275,865	0	1,275,865
	iv. Balance sheet value			
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market	4,791,634	4,169,707	4,169,707
		1		
	value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
	haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VaR based haircuts.			
1 19	haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VaR based haircuts. vi. 100% haircut in the case of amount receivable form related parties.	5,391,970	5,391,970	
1.18	haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VaR based haircuts.	5,391,970		26,498

Schedule III [ See regulation 6(4) ]

## Liquid Capital Statement

for the month of **31-MAR-22** 

of M/s. ASDA Securities (Pvt.) Ltd.

Submission Date 13-APR-2022 16:23:45

Bits         Basis of Account         Value in Section         Basis of Account         Value in Section         Basis of Account           19         Inclusion insured         Section		Submission Date 13-AFR-2022 10.23.45		Page	2 Of 3
II. Capit in hand         III.0         Construction         State Sta	S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
1.90       Intel Assets       982.947.27       110.628.146       285.553.05         21       Indel Psycholos       110.628.146       285.553.05         21       Indel Assets       110.628.146       285.553.05         21       Indel Psycholos       110.628.146       285.553.05         22       Intermed and Legarch floate       110.629.27       0       214.593.27         22       Current Lisbillites       12.071.98       0       214.593.27         24       Current Lisbillites       24.071.92       0       24.593.77         25       Control Lisbillites       24.071.92       0       24.571.92         26       Current Lisbillites       24.071.92       0       24.571.92         27       Control Lisbillites are accurring principlex and inclusion inclus			Pak Rupee	Adjustments	Value
1.90       Intel Assets       982.947.27       110.628.146       285.553.05         21       Indel Psycholos       110.628.146       285.553.05         21       Indel Assets       110.628.146       285.553.05         21       Indel Psycholos       110.628.146       285.553.05         22       Intermed and Legarch floate       110.629.27       0       214.593.27         22       Current Lisbillites       12.071.98       0       214.593.27         24       Current Lisbillites       24.071.92       0       24.593.77         25       Control Lisbillites       24.071.92       0       24.571.92         26       Current Lisbillites       24.071.92       0       24.571.92         27       Control Lisbillites are accurring principlex and inclusion inclus		iii. Cash in hand			
21       Inde Payables       21.40.378       0       21.40.378         22       Operating the scattering read design house       21.40.378       0       21.40.378         23       Operating the scattering read design house       0       0       21.40.378       0       21.40.378         24       Operating the scattering read design house       0       0       0       21.40.378 </td <td>1.19</td> <td></td> <td>392,584,271</td> <td>110,628,146</td> <td>285,503,905</td>	1.19		392,584,271	110,628,146	285,503,905
1. Exploit of search model         21.480.378         0         21.480.378         0         12.480.378         0         12.480.378         0         12.480.378         0         12.480.378         0         12.480.378         0         12.480.378         0         12.480.378         0         12.480.378         0         12.480.378         0         12.480.378         0         23.487.799         0         3.488.799         0         3.488.799         0         3.488.799         0         3.488.799         0         3.488.799         0         3.488.799         0         3.488.799         0         3.488.799         0         3.488.799         0         3.488.799         0         3.488.799         0         3.488.799         0         3.488.799         0         1.481.287         0         1.512.877         0         1.512.877         0         1.512.877         0         1.512.877         0         1.512.877         0         1.512.877         0         1.512.877         0         1.512.877         0         1.512.877         0         1.512.877         0         1.512.877         0         1.512.877         0         1.512.877         0         1.512.877         0         1.512.877         0         1.522.577         0	2	Liabilities			
iii. Branche against lavesaged moteix products         21:400.737         0         21:400.737           iii. Branche against lavesaged moteix products         0         0         12:071.198           iii. Somitaria and image data for products         0         0         33:48.709           iii. Somitaria and image data for products         0         21:47.19,178	2.1	Trade Payables			
Image: Provide to construct a set of the set of t					
22       Current Liabilities       3.945,798       0       3.945,798         1. Account and other payables       3.945,798       0       3.945,798       0       3.945,798         1. Current Liabilities       214,718,178       0       112,227       0       182,2273       0       182,2273       0       182,2273       0       182,2273       0       182,2273       0       182,2273       0       182,2273       0       182,2273       0       182,2273       0       182,2273       0       182,2273       0       182,2273       0					
1284.000 yr and explantor duels       3.948.76       0         1. Account from on dubins prophiba       3.947.76       0       214.719.177         1. Concent lice of understanded boars       1       0       214.719.177       0       214.719.177         1. Detered Labellines       1       0       1       0       1       0       214.719.177       0       214	2.2		12,071,190	0	12,071,190
I. Account and other payables       3.948.769       0       3.948.769         II. Security potion of long lumi labilities       214.719.710       0       214.719.710         V. Consert potion of long lumi labilities       1					
w. Current portion of subcontrated loarn		ii. Accruals and other payables			
v. Current partial ratio         —           v. Default Liabilities         —           v. Default classifies         —           v. Default classifies         —           v. Other Liabilities are particular and included in the financial statements         6.628.070         0           v. On Current Liabilities are particular and included in the financial statements         6.628.070         0           a Long-Term financing         —         —         —           a line Advisor ogands shows th         Financial statistics         —         —           a line Advisor ogands shows th         Financial statistics         —         —           a line Advisor ogands shows the capital of Securities boken capital         .         .         —           b Bod of Directors of the company that space can end all equatory requirements         .         .         .           relating advisor directors of the company that space can end all equatory requirements         .         .         .           a Concertifica			214,719,178	0	214,719,178
vi. Defined Liabilities         vi. Defined Liabilities         vi. Defined Liabilities           vi. Device of the base of the bas		IV. Current portion of subordinated loans			
will Provision for Lazation         1.812.873         0         1.612.873           x. Other liabilities are paraccounting pinciples and included in the financial statements         6.628.070         0         6.628.070           2.3         Non-Current Liabilities         6.228.070         0         6.628.070         0         6.628.070           3         Long-Ferm financing obtained from financing institutor include against finance lease         0 <t< td=""><td></td><td></td><td></td><td></td><td></td></t<>					
k. Other liabilities apper accounting principles and included in the financial statements         6.628.070         0         6.628.070           21         Non-Current Liabilities <td< td=""><td></td><td></td><td></td><td></td><td></td></td<>					
23       Non-Current Liabilities       Image: Second Secon					
1 Long-Term financing	2.3		6,626,070	0	0,020,070
a. Long-Term financing obtained from financial initiation: Long term potition of financing obtained from            b. Other long-term financing            c. Staff interment bandling            c. Staff interment bandling            c. Staff interment bandling            c. Staff interment bandling            c. Relevant Regulatory approxib have been obtained            c. Relevant Regulatory approxibs have been obtained            d. There is no unresonable delay in size of shaces against davance and all regulatory requirements            in the staff in the company in size of shaces against davance and all regulatory requirements            in the staff in the company in size of shaces against is durance and all regulatory requirements            in the staff in the company in size of shaces against is durance and all regulatory requirements            in the staff in the company in the base comprehet on protein principles and included in the financial statements            2.4         Subordinated loars which fulfill the conditions specified by SCP are allowed to be deducted            the staff in the staff in the staff in the conditions specified by SCP             differential base in the staff in the conditions specified by SCP             differential batterment					
a financial institution including amount due against finance lease					
I. Staff relicions the online         Image: Staff relicions of the company has approved the increase in capital of Securities broker: 10% haircut may be allowed in tespect of advance against shares if:         Image: Staff relicions of the company has approved the increase in capital           Is. Boad of Directors of the company has approved the increase in capital         Image: Staff relicions of the company has approved the increase of capital         Image: Staff relicions of the company has approved the increase of capital           Is. Boad of Directors of the company has approved the increase of capital         Image: Staff relicions and included in the financial statements         Image: Staff relicions against the increase of capital           V. Other labilities as per accounting principles and included in the financial statements         Image: Staff relicions appectific the staff relicions against staff relicions appectified by SECP are allowed to be deducted:         Image: Staff relicions appectific the staff relicions appectified by SECP are allowed to the tappe the amount to be repaid affer 12 months the exocuted on staff re paper and must clearly reflect the amount to be repaid affer 12 months to exocuted on staff re paper and must clearly reflect the amount to be repaid affer 12 months to relicing beind         Image: Staff relicions appectified by SECP         Image: Staff relicions appectified by SECP are allowed against staff relicions appectified by SECP are allowed by SECP         Image: Staff relicions appectified by SECP         Image: Staff re		a financial institution including amount due against finance lease			
iii Advance against shares for Increase in Capital of Securities torker. 10% haicut may be allowed in respect of advance against shares. If a line existing authorized share capital lines the proposed enhanced share capital         Image: Comparison of the company has approved the increase in capital         Image: Company has approved the increase incre					
a. The existing authorized share capital allows the proposed enhanced share capital         b.           b. Boad of Directors of the company has approved the increase in capital         c.           c. Retevent Regulatory approvals have been obtained         c.           d. There is no uncassonable delay in hissue of share's against advance and all regulatory requirements         islating           view of the company has approved the increase in capital         c.           view of the company has approved the increase in capital         c.           view of the company has approved the increase in capital         c.           view of the company has approved the increase in capital         c.           view of the company has approved the increase in capital         c.           view of the company has approved the increase in capital         c.           view of the company has approved the increase in capital         c.           view of the company has approved the increase in capital         c.           view of the company has approved against subcrease         c.           view of the company has approved against subcrease the increase in the increase in allowed to be deducted:         c.           the Subordinated loars which do not fulfill the conditions specified by SCP         c.         c.           is subordinated loars which do not fulfill the conditions specified by SCP         c.         c.           is s					
b         b         b         b         b         b         b         b         b         c         Relevant Regulatory approvis have been obtained         c         c         Relevant Regulatory approvis have been obtained be					
c. Relevant Regulatory approvals have been obtained       c. Relevant Regulatory approvals have been completed.         e. Auditor is satisfied that such advances against advance and all regulatory requirements       c.         24       Subordinated Loans       c.         1.100% of Subordinated loans which fulfill the conditions are specified by SECP are allowed to be deducted.       c.         The Schoule III provides that 10% advance and mst clearly refer the amount to be repaid after 12 months of reporting period       c.         a. Loan agreement must be executed on stamp paper and must clearly refer the amount to be repaid after 12 months of reporting period       c.         b. No hactur will be allowed agains short term portion which is repayable within next 12 months.       c.       c.         c. in case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital and revised Capital and revised Liquid Capital and revised Capital Capital and revised Capital and revised Capital Capital and revised Capital Cap		a. The existing authorized share capital allows the proposed enhanced share capital			
d. There is no unreasoniable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up cripital have been completed.       Image: Completed in the increase of capital.         e. Auditor is satisfied that such advance is against the increase of capital.       Image: Completed integration of the increase of capital.         e. Auditor is satisfied that such advance is against the increase of capital.       Image: Completed integration of the increase of capital.         e. Auditor is satisfied that such advance and is a subscripted to the increase of capital.       Image: Completed integration of the increase of capital.         e. Auditor is satisfied that such advance and is a subscripted to the increase of capital grad.       Image: Completed integration of the increase of capital advance and painst subscripted to be deducted.         a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting pariod.       Image: Complete integration of the integrated of the integration of the integration of		b. Boad of Directors of the company has approved the increase in capital			
elating to the increase in paid up capital have been completed. <ul> <li>B. Audior is satisfied that such advance is against the increase of capital.</li> <li>V. Other liabilities as per accounting principles and included in the financial statements</li> <li>Subordinated Loans</li> <li>I. 100% of Subordinated Loans which fulfil the conditions specified by SECP are allowed to be deducted: The Scheduel II provides that 10% sharic vul till be allowed against subordinated Loans which fulfil the conditions specified by SECP. In this regard, following conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period b. No haricut will be allowed against subrit term portion which is repayable within next 12 months. c. in case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange. if. Subordinated Cleans which do not fulfil the conditions specified by SECP          260.670.465          280.670.465           2.5         Total Liabilities         Ranking Liabilities Relating to: if. Subordinated Cleans to clean tasks by which any amount receivable from any of the finances exceed 10% of the aggregate of amounts receivable from total finances. 3.2          Concentration in Margin Financing the amount by which the aggregate of. (i) Arnount deposited cleant -ocitant basks by which any amount receivable from any of the finances excuelts lean difficit and the subscription price, the aggregate of. (i) Arnound toposited client -ocitant basks by which any amount receivable from total finances. 3.3          Meet</li></ul>					
iv. Other liabilities as per accounting principles and included in the financelis latements         Image: Control instact Counting principles and included in the financelis latements           24         Subordinated Loans         Image: Control instact Counting principles and included in the financelis latements         Image: Control instact Counting principles and included in the financelis latement in must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reparting period         Image: Control instact Counting principles and included to the Liquid Capital and revised Liquid Capital statement must be executed to exchange.         Image: Control instact Counting Principles Printest Princes Principles Principles Principles Principles Princip					
2.4       Subordinated Loans					
110% of Subordinated lears which fulfill the conditions specified by SECP are allowed to be deducted:       Image: Standard Conditions and the conditions are specified:       Image: Standard Conditions and the conditions are specified to a condition and the conditions are specified to a condition and the conditions are specified by SECP       Image: Standard Conditions and the conditions are specified by SECP       Image: Standard Conditions and the conditions are specified by SECP       Image: Standard Conditions and the conditions are specified by SECP       Image: Standard Co	2.4				
The Schedule III provides that 100% halrout will be allowed against subordinated Loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified:       Image: specific conditions specified by SECP. In this regard, following conditions specified by SECP. In this regard, following conditions specified by SECP. In the case of early regregated of the aggregate of:       Image: specific conditions specified by SECP. In this regard, following conditions specified by SECP. In this regard, following conditions specified by SECP.       Image: specific conditions specified by SECP.         2.5       Total Liabilities Relating to :       Image: specific conditions specified by SECP.       Image: specific conditions specified by SECP.         2.6       Total Liabilities Relating to :       Image: specific conditions specified by SECP.       Image: specific conditions specified by SECP.         3.1       Concentration in Margin Financing.       Image: specific conditions specified by SECP.       Image: specific conditions specified by SECP.         3.2       Concentration in Margin Financing.       Image: specific conditions specified condit financees conditis specified conditions specified c	2.1				
a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid       after 12 months of reporting period       Image: Clear C					
after 12 months of reporting period       b. No halrcut will be allowed against short term portion which is repayable within next 12 months.       c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid         Capital statement must be submitted to exchange.       ii. Subordinated loans which do not fulfill the conditions specified by SECP       iii.         2.5       Total Liabilities Relating to :       280.670.465       0       260.670.465         3.1       Concentration in Margin Financing       iii.       iii.       iii.       iii.         the amount calculated client-to- client basis by which any amount receivable from any of the finances exceed 10% of the aggregate of amounts receivable from total finances.       iii.       iii.         3.2       Concentration in Securities Lending and borrowing       iii.       iiii.       iiii.         10       Concentration in Necruities Lending and borrowing       iiii.       iiiiii.       iiiiii.         11       Concentration in Necurities Lending and borrowing       iiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiii					
b. No haiccut will be allowed against short term portion which is repayable within next 12 months. c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange. ii. Subordinated loans which do not fulfill the conditions specified by SECP       260,670.465       0       260,670.455         215       Total Liabilities Relating to : a Ranking Liabilities Relating to : a Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees. a Concentration in securities lending and borrowing the amount by which the aggregate of amounts receivable from total financees. borrowed borrowed a mount by which the aggregate of securities is less than or equal to the subscription price: the aggregate of. (i) net case of right issue : if the market value of securities is less than or equal to the subscription price: the aggregate of. (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the total assets of the subsidiary the Haicut multiplied by the net underwriting commitments a memont by which the total assets of the subsidiary (excluding any amount due from the subsidiary) ceceed the total liabilities					
c. In case of early repayment of Ioan, adjustment is hall be made to the Liquid Capital and revised Liquid					
ii. Subordinated loans which do not fulfill the conditions specified by SECP       260,670,465       0       260,670,465         2.5       Total Liabilities Relating to :       260,670,465       0       260,670,465         3.1       Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the financese exceed 10% of the aggregate of amounts receivable from total finances.       0       0         3.2       Concentration in securities lending and borrowing The amount deposited by the borrower with NCCPL (II) Cash margins paid and (III) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed       0       0         3.3       Net underwriting Commitments (a) in the case of right issuse : if the market value of securities is less than or equal to the subscription price: the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments exceeds the market price of the securities. In the case of right issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments       0       0         3.4       Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subscription price, 5% of the Haircut multiplied by the net underwriting commitments       0       0         3.4       Negative equity of subsidiary The amount by which the end assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (excluding any amount due from the subsi		c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid			
2.5       Total Liabilities       260,670,465       0       260,670,465         3       Ranking Liabilities Relating to :       260,670,465       0       260,670,465         3.1       Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the financees executed 10% of the aggregate of a mounts receivable from total financees.       0       0         3.2       Concentration in securities lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed       0       0       0         3.3       Net underwriting Commitments (a) in the case of fight issue : if the market value of securities is less than or equal to the subscription price: the aggregate of: (i) the solve of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issue where the market price of securities is greater than the subscription price; the aggregate of: (b) in any other case: 12.5% of the net underwriting (b) in any other case: 12.5% of the net underwriting (b) in any other case: 12.5% of the net underwriting (b) in any other case: 12.5% of the net underwriting (c) in the total assets of the subsidiary (c) ereign exchange agreements and foreign currency positions 5% of the net position in foreign currency Net position in foreign currency 3% of the net position in foreign currency Net position in foreign currency       0       0         3.4       Negative eq		Capital statement must be submitted to exchange.			
3       Ranking Liabilities Relating to :		III. Subordinated loans which do not fulfill the conditions specified by SECP			
3       Ranking Liabilities Relating to :	2.5	Total Liabilites	260,670,465	0	260,670,465
3.1       Concentration in Margin Financing       Image and the aggregate of amounts receivable from any of the financese seceed 10% of the aggregate of amounts receivable from total financese.         3.2       Concentration in securites lending and borrowing       Image and the aggregate of amounts receivable from total financese.         3.2       Concentration in securites lending and borrowing       Image and the aggregate of:       Image and the aggregate of:         (i) Amount deposited by the borrower with NCCPL       Image and the aggregate of:       Image and the aggregate of:         (ii) Cash margins paid and       Image and the aggregate of:       Image and the aggregate of:         (ii) The market value of securites pledged as margins exceed the 110% of the market value of shares borrowed       Image and the aggregate of:         (ii) The case of right issuse : if the market value of securites is less than or equal to the subscription price; the aggregate of:       Image and the aggregate of:         (i) the 50% of Haircut multiplied by the underwriting commitments exceeds the market price of the securities.       Image and the case if girls issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments       Image and the subscription price, 5% of the Haircut multiplied by the net underwriting commitments         3.4       Negative equily of subsidiary       Image and the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary) exceed	3				
The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.       Image: Concentration in securites lending and borrowing the aggregate of:       Image: Concentration in securites lending and borrowing the aggregate of:       Image: Concentration in securites lending and borrowing the aggregate of:       Image: Concentration in securites lending and borrowing the aggregate of:       Image: Concentration in securites lending and borrowing the aggregate of:       Image: Concentration in securites pledged as margins exceed the 110% of the market value of shares borrowed       Image: Concentration in securites pledged as margins exceed the 110% of the market value of shares borrowed       Image: Concentration in securites pledged as margins exceed the 110% of the market value of shares borrowed       Image: Concentration in securites pledged as margins exceed the 110% of the market value of shares borrowed       Image: Concentration in securites pledged as margins exceed the 110% of the market value of shares borrowed       Image: Concentration in securites pledged as margins exceed the 110% of the market value of shares borrowed       Image: Concentration in securites pledged as margins exceed the 110% of the market value of shares borrowed       Image: Concentration in securites pledged as margins exceed the 110% of the market value of securites pledged as a concentration in client as the subscription price; the aggregate of:       Image: Concentration in securites as the concentration in concentratin concentratin concentration in concentration i	3.1				
3.2       Concentration in securites lending and borrowing       Image: Concentration in securites lending and borrowing         The amount by which the aggregate of:       (i) Amount deposited by the borrower with NCCPL       Image: Concentration in securites pledged as margins exceed the 110% of the market value of shares borrowed       Image: Concentration in securites pledged as margins exceed the 110% of the market value of shares borrowed         3.3       Net underwriting Commitments       Image: Concentration in the case of right issue : if the market value of securites is less than or equal to the subscription price: the aggregate of:       Image: Concentration price: the aggregate of:       Image: Concentraticaggregate of:       Image: Concentration price		The amount calculated client-to- client basis by which any amount receivable from any of the			
The amount by which the aggregate of:       (i) Amount deposited by the borrower with NCCPL         (ii) Cash margins paid and       (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed         3.3       Net underwriting Commitments       (i) in the case of right issuse : if the market value of securities is less than or equal to the subscription price; the aggregate of:       (i) the 50% of Haircut multiplied by the underwriting commitments and         (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of right issuse where the market price of securities is greater than the subscription price; 5% of the Haircut multiplied by the net underwriting commitments       (ii) the value of subsidiary         3.4       Negative equity of subsidiary       (iii) the value of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary)       (iii) the value of subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (excluding any amount due free of total assets denominated in foreign currency less total liabilities denominated in foreign currency less total liabilities denominated in foreign currency       (ii) the value of total assets denominated in foreign currency         3.5       Foreign exchange agreements and foreign currency means the difference of total assets denominated in foreign currency less total liabilities deno	2.0				
(i) Amount deposited by the borrower with NCCPL       (ii) Cash margins paid and       iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed       iii) The market value of securities pledged as margins exceed the 110% of the market value of shares         3.3       Net underwriting Commitments       iiii) The market value of securities is less than or equal to the subscription price; the aggregate of;       iiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiii	3.2				
(ii) Cash margins paid and       (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed       Image: Share Shar					
borrowed       borrowed         3.3       Net underwriting Commitments <ul> <li>(a) in the case of right issuse : if the market value of securites is less than or equal to the subscription price; the aggregate of:</li></ul>					
3.3       Net underwriting Commitments					
(a) in the case of right issuse : if the market value of securites is less than or equal to the subscription price; the aggregate of:       (i) the 50% of Haircut multiplied by the underwriting commitments and         (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments       Image: Commitment of the subscription price, 5% of the Haircut multiplied by the net underwriting commitments         3.4       Negative equity of subsidiary       Image: Commitment of the subsidiary (excluding any amount due from the subsidiary)       Image: Commitment of the subsidiary         3.5       Foreign exchange agreements and foreign currency positions       Image: Commitment of the commitment of the subsidiary (for the subsidiary in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency       Image: Commitment of total assets of total assets of the subsidiary (for the subsidiary in foreign currency less total liabilities denominated in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency       Image: Commitment of the currency less total liabilities denominated in foreign currency         3.6       Amount Payable under REPO       Image: Commitment of the currency less total liabilities denominated in foreign currency	2.2				
price: the aggregate of:       (i) the 50% of Haircut multiplied by the underwriting commitments and       (ii) the 50% of Haircut multiplied by the underwriting commitments exceeds the market price of the securities.       In the case of rights issues where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments       Image: Commitment of the Haircut multiplied by the net underwriting commitment of the Haircut multiplied by the net underwriting commitments       Image: Commitment of the Haircut multiplied by the net underwriting commitment of the Haircut multiplied by the net underwriting commitment of the Haircut multiplied by the net underwriting commitment of the Haircut multiplied by the net underwriting commitment of the Haircut multiplied by the net underwriting commitment of the Haircut multiplied by the net underwriting commitment of the Haircut multiplied by the net underwriting commitment of the Haircut multiplied by the net underwriting commitment of the Haircut multiplied by the net underwriting commitment of the subscience of the subscience of the subscience of the transmitter of the subscience of the subscience of total assets denominated in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency       Image: Commitment of the text of the text of the text of text o	3.3				
(i) the 50% of Haïcut multiplied by the underwriting commitments and       (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issue where the market price of securities is greater than the subscription price, 5% of the Haïcut multiplied by the net underwriting       (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issue where the market price of securities is greater than the subscription price, 5% of the Haïcut multiplied by the net underwriting commitments       (ii) the value by which the net underwriting commitments         3.4       Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary       (iii) the subsidiary         3.5       Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency.Net position in foreign currency       (iii) the subsidiary         3.6       Amount Payable under REPO       (iii) the subsidiary					
In the case of rights issue where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting       Image: Constraint of the Haircut multiplied by the net underwriting commitments         3.4       Negative equity of subsidiary       Image: Constraint of the subsidiary       Image: Constraint of the subsidiary         3.4       Negative equity of subsidiary       Image: Constraint of the subsidiary       Image: Constraint of the subsidiary         3.4       Negative equity of subsidiary       Image: Constraint of the subsidiary       Image: Constraint of the subsidiary         3.5       Foreign exchange agreements and foreign currency positions       Image: Constraint of the subsidiary       Image: Constraint of the subsidiary         3.6       Amount Payable under REPO       Image: Constraint of the subsidiary       Image: Constraint of the subsidiary		(i) the 50% of Haircut multiplied by the underwriting commitments and			
the Haircut multiplied by the net underwriting       Image: Constraint of the subscript of the net underwriting commitments         3.4       Negative equity of subsidiary       Image: Constraint of the subscript of the subscrip					
(b) in any other case : 12.5% of the net underwriting commitments       Image: 12.5% of the net underwriting commitments         3.4       Negative equity of subsidiary       Image: 12.5% of the net underwriting commitments         3.4       Negative equity of subsidiary       Image: 12.5% of the net underwriting commitments         The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)       Image: 12.5% of the subsidiary         scene the total liabilities of the subsidiary       Image: 12.5% of the net position in foreign currency positions         3.5       Foreign exchange agreements and foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency         3.6       Amount Payable under REPO       Image: 12.5% of the net position in foreign currency					
3.4       Negative equity of subsidiary       Image: constraint of the subsidiary         The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary       Image: constraint of the subsidiary         3.5       Foreign exchange agreements and foreign currency positions       Image: constraint of the subsidiary         5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency       Image: constraint of the subsidiary         3.6       Amount Payable under REPO       Image: constraint of the subsidiary					
The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)       Image: Constraint of the subsidiary         3.5       Foreign exchange agreements and foreign currency positions       Image: Constraint of the subsidiary         5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency       Image: Constraint of the subsidiary         3.6       Amount Payable under REPO       Image: Constraint of the subsidiary	3.4				
3.5       Foreign exchange agreements and foreign currency positions         5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency         3.6       Amount Payable under REPO		The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)			
5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency       6         3.6       Amount Payable under REPO       6	3.5				
assets denominated in foreign cuurency less total liabilities denominated in foreign currency         3.6       Amount Payable under REPO	3.5				
3.6 Amount Payable under REPO					
	3.7				

Schedule III [See regulation 6(4)]

## Liquid Capital Statement

for the month of **31-MAR-22** 

of M/s. ASDA Securities (Pvt.) Ltd.

Submission Date 13-APR-2022 16:23:45

			Page	3 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
3.8	Repo adjustment         In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites.         In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.         Concentrated proprietary positions         If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security .			
3.9	Opening Positions in futures and options i. In case of customer positions, the total margin requirements in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met			
3.10	Short sell positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the <u>customer as collateral and the value of securities held as collateral after applying VAR based Haircuts</u> ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11 3.12	Total Ranking Liabilites Liquid Capital	0 131,913,806	0 110,628,146	24,833,440